

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>ALXN-P01-106</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US2004/017219</b>	INTERNATIONAL FILING DATE <b>28 May 2004 (28.05.2004)</b>	U.S. APPLICATION NO. <b>10/559543</b> <small>(known, see 37 CFR 1.5)</small>
TITLE OF INVENTION <b>DE-IMMUNIZED ANTI-CD3 ANTIBODY</b>		PRIORITY DATE CLAIMED <b>02 June 2003 (02.06.2003)</b>
APPLICANT(S) FOR DO/EO/US <b>Rother et al.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <b>Items 11 to 20 below concern document(s) or information included:</b> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 18. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		

U.S. APPLICATION NO. <b>10/559543</b> INTERNATIONAL APPLICATION NO. <b>PCT/US2004/017219</b>	ATTORNEY'S DOCKET NUMBER <b>ALXN-P01-106</b>																
20. <input checked="" type="checkbox"/> Other items or information: <b>Combined International Search Report and Written Opinion; Sequence Listing Transmittal; Sequence Listing paper copy; Return Receipt Postcard from DO/EO/US confirming receipt of 371 National phase; Certificate of Express Mailing</b>																	
The following fees have been submitted																	
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) ..... \$300	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 60%;">CALCULATIONS</th> <th style="width: 40%;">PTO USE ONLY</th> </tr> <tr> <td>\$ 300.00</td> <td></td> </tr> </table>	CALCULATIONS	PTO USE ONLY	\$ 300.00													
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22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0 All other situations ..... \$200	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 60%;">CALCULATIONS</th> <th style="width: 40%;">PTO USE ONLY</th> </tr> <tr> <td>\$ 200.00</td> <td></td> </tr> </table>	CALCULATIONS	PTO USE ONLY	\$ 200.00													
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23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... \$400 All other situations ..... \$500	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 60%;">CALCULATIONS</th> <th style="width: 40%;">PTO USE ONLY</th> </tr> <tr> <td>\$ 100.00</td> <td></td> </tr> </table>	CALCULATIONS	PTO USE ONLY	\$ 100.00													
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<b>TOTAL OF 21, 22 and 23 =</b>																	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																	
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Total Sheets</th> <th style="width: 20%;">Extra Sheets</th> <th style="width: 30%;">Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th style="width: 30%;">RATE</th> </tr> <tr> <td>112 - 100 =</td> <td>12 /50 =</td> <td>1</td> <td>x \$250.00</td> </tr> </table>	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	112 - 100 =	12 /50 =	1	x \$250.00	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 60%;">CALCULATIONS</th> <th style="width: 40%;">PTO USE ONLY</th> </tr> <tr> <td>\$ 250.00</td> <td></td> </tr> </table>	CALCULATIONS	PTO USE ONLY	\$ 250.00					
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CALCULATIONS	PTO USE ONLY																
\$ 250.00																	
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).																	
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 40%;">RATE</th> </tr> <tr> <td>Total claims</td> <td>20 - 20 =</td> <td></td> <td>x 0.00</td> </tr> <tr> <td>Independent claims</td> <td>8 - 3 =</td> <td>5</td> <td>x 200.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+</td> </tr> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	20 - 20 =		x 0.00	Independent claims	8 - 3 =	5	x 200.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+
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MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+														
<b>TOTAL OF ABOVE CALCULATIONS =</b>																	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/3.																	
<b>SUBTOTAL =</b>																	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).																	
<b>TOTAL NATIONAL FEE =</b>																	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property																	
<b>TOTAL FEES ENCLOSED =</b>																	
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 60%;">Amount to be refunded:</th> <th style="width: 40%;">\$</th> </tr> <tr> <td></td> <td></td> </tr> <tr> <th style="width: 60%;">Amount to be charged</th> <th style="width: 40%;">\$</th> </tr> <tr> <td></td> <td></td> </tr> </table>		Amount to be refunded:	\$			Amount to be charged	\$										
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- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 18-1945 in the amount of \$ 1,850.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit  
Account No. 18-1945 . A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information  
should not be included on this form.** Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be  
filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

**Anita Varma**  
**Fish and Neave IP Group of**  
**ROPES & GRAY LLP**  
**One International Place**  
**Boston, Massachusetts 02110-2624**  
**(617) 951-7796**

CUSTOMER NUMBER: **28120**

  
SIGNATURE

Jennifer Holmes, Ph.D., J.D.  
NAME

46,778  
REGISTRATION NUMBER

Application No. (if known): Not Yet Assigned

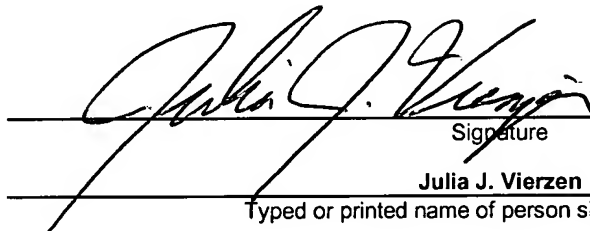
Attorney Docket No.: ALXN-P01-106

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 543606065 US in an envelope addressed to:

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on December 2, 2005  
Date

  
\_\_\_\_\_  
Signature  
\_\_\_\_\_  
Julia J. Vieren  
\_\_\_\_\_  
Typed or printed name of person signing Certificate

\_\_\_\_\_  
Registration Number, if applicable

\_\_\_\_\_  
(617) 951-7357  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- Transmittal Letter to the U.S. Designated-Elected Office (3 pages) (w/cover);
  - Application Data Sheet (4 pages);
  - Preliminary Amendment (7 pages);
  - Second copy of published International Application (71 pages);
  - Combined International Search Report and Written Opinion (11 pages);
  - Transmittal of Sequence Listing (1 page);
  - Computer readable Sequence Listing (1 diskette);
  - Sequence Listing paper copy (41 pages); and
  - Return receipt postcard from DO/EO/US confirming receipt of 371 Nat'l Phase Application and related documents (1 postcard)
- Charge \$1,850.00 to deposit account 18-1945.